Docket No.: 6000-005-52

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION:

Denis KHOO et al.

Conf. No.

8842

SERIAL NUMBER:

09/849,495

Group:

3622

FILED:

May 4, 2001

Examiner:

Khanh H. LE

FOR:

METHOD AND SYSTEM FOR PROVIDING CONTENT WITH

AN OPTION

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Assistant Commissioner for Patents PO BOX 1450

January 23, 2007

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**ALEXANDRIA, VA 22313-1450** 

Sir:

Applicant(s) wish(es) to disclose the following information.

### REFERENCES

Applicant(s) wish(es) to make of record the documents listed on the attached Form PTO-1449. Copies of the listed documents are attached, where required, as are either statements of relevancy or any readily available full or partial English translations of any non-English-language documents.

#### RELATED CASES

Attached is a list of Applicant's(s') pending applications and issued patents which may be related to the present application. Copies of the documents, where required, are attached along with Form PTO-1449.

#### **CERTIFICATION**

The undersigned certifies that

each item of information contained in this Information Disclosure Statement was first
cited in any communication from a foreign or international patent office in a counterpart
foreign or international application for the first time (to the knowledge of the
undersigned, having made reasonable inquiry) not more than three months prior to the
filing of this statement (See 37 CFR 1.97(e)(1)).

no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application, and, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement. (See 37 CFR 1.97(e)(2))

# **BASIS FOR CONSIDERATION**

	This Information Disclosure Statement is filed:
	without fee and within three months of the filing date of the application. without fee and within three months of the date of entry of the U.S. national stage. without fee and before the mailing date of a first Office Action on the merits (to the knowledge of the undersigned). without fee and with the appropriate certification above. without fee and with a new CPA application. without fee and with a Request for Continued Examination. with fee and before the mailing date of any Final Office Action, Notice of Allowance or an action that otherwise closes prosecution (to the knowledge of the undersigned). with fee, appropriate certification above, and before payment of the Issue Fee.
<u>DEPO</u> ⊠	SIT ACCOUNT  Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 50-3266.
	Respectfully submitted,

DLA PIPER US LLP

44,977

Dale S. Lazar Reg. No. 28,872 Attorney of Record

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DSL/maf

PTO/SB/08a (08-03)
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	Subs	titute for form 1449A/P	го	Complete if Known		
11	MEODMA.	TION DISC	OSLIDE	Application Number	09/849,495	
				Filing Date	May 4, 2001	
STATEMENT BY APPLICANT				First Named Inventor	Denis KHOO et al.	
	(Use as	many sheets as neces	sary)	Art Unit	3622	
				Examiner Name	Khanh H. LE	
Sheet	1	of	3	Attorney Docket Number	6000-005-52	

Examiner	Cite No.1	U. S. PATENT DOCUMENTS						
Initials*	Cite No.	Document Number  Number-Kind Code <sup>2 (Fkrown)</sup>	Publication Date  MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		US -5,034,807	7/1991	Von Kohorn				
		US -5,283,734	2/1994	Von Kohorn				
····		US -5,319,455	6/1994	Hoarty et al.				
		US -5,488,423	1/1996	Walkingshaw et al.				
		US -5,515,270	5/1996	Weinblatt				
		US -5,524,195	6/4/1996	Clanton et al.				
		US -5,541,638	7/30/1996	Story				
		US -5,619,249	4/8/1997	Billock et al.				
		US -5,684,918	11/1997	Abecassis				
		US -5,734,853	3/31/1998 5/19/1998	Hendricks et al. Herz et al.				
		US -5,754,939 US -5,759,101	6/1998	Von Kohorn				
		US -5,774,170	6/1998	Hite				
	-	US -5,774,870	6/1998	Storey				
		US -5,806,045	9/1998	Biorge et al.				
		US -5,832,457	11/1998	O'Brien et al.				
		US -5,915,243	6/1999	Smolen	· · · · · · · · · · · · · · · · · · ·			
	-	US -5,926,624	7/20/1999	Katz et al.				
		US -5,929,850	7/1999	Broadwin et al.				
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		US -6,005,561	12/21/1999	Hawkins et al.				
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		US -6,799,327	9/28/2004	Reynolds et al.				
		US -6,816,628	11/9/2004	Sarachick et al.				
		US -6,820,277	11/16/2004	Eldering et al.				

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	Substitu	ute for form 1449A/P	то		Complete if Known
INIE		ON DISCL	SUIDE	Application Number	09/849,495
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT		T   Filing Date   N	May 4, 2001	
SIA		LICAN I	First Named Inventor	Denis KHOO et al.	
				Art Unit	3622
	(Use as m	nany sheets as neces	isary)	Examiner Name	Khanh H. LE
Sheet	2	of	3	Attorney Docket Number	6000-005-52

Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (8 Frown)</sup>	Publication Date  MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US -6,868,415	3/15/2005	Kageyama et al	
		US -6,075,971	6/1/00	Williams et al.	
		US -5,483,276	1/1/96	Brooks et al.	
		US -5,500,681	3/1/96	Jones	
		US -5,564,073	10/1/96	Takahisa	
		US -5,264,933	11-23-93	Rosser	.,,-
		US -5,758,072	5/26/98	Filepp et al.	
		US -6,490,587	12/3/2002	Easty	
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		US -6,285,999	9/14/2001	Page	

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Pat Applicant of 0	entee or Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	тв
		WO 9950778	10/7/1999	Slade	··		
		WO 0043892	7/27/2000	Galuten			
7		WO 99/04561	1/28/1999	Alexande	er		<b></b>
		WO 02/084502	10/24/2002	Cristofalo	et al.		ļ
kaminer gnature	<u> </u>		<u> </u>		Date Considered		1

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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	Substitute fo	or form 1449A/P	го		Complete if Known ^
INIE		DISCL	Jelibe	Application Number	09/849,495
1	INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use as many sheets as necessary)	Filing Date	May 4, 2001		
SIA		ICAN I	First Named Inventor	Denis KHOO et al.	
				Art Unit	3622
	(Use as many	sheets as neces	sary)	Examiner Name	Khanh H. LE
Sheet	3	of	3	Attorney Docket Number	6000-005-52

	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>		
		Masih, Rumi. "An empirical analysis of the demand for commercial television advertising," Applied Economics, 32, 2, 149(1), February 1999.			
		Balabanovc et al. "Fab: Content-Based, Collaborative Recommendation," Communications of the ACM, Vol. 40, No. 3, March 1997.			
Examiner Signature		Date Considered	l .		

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